



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Smith, Lee John; Zoba, David Albert
Assignee: Amkor Technology, Inc.
Title: Thin Semiconductor Package Including Stacked Dies
Serial No.: 09/944,732 Filing Date: August 31, 2001
Examiner: Unknown Group Art Unit: Unknown
Docket No.: M-11443 US

San Francisco, California
October 5, 2001

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR § 1.97(b)**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO-1449 are called to the attention of the Examiner for the above patent application. Copies of these documents are enclosed.

Citation of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

EXPRESS MAIL LABEL NO:

EL 873 075 341 US

Respectfully submitted,

Robert D. Wasson

Robert D. Wasson
Attorney for Applicant(s)
Reg. No. 40,218

LAW OFFICES OF
SKJERVEN MORRILL
MacPHERSON LLP
3 EMBARCADERO CENTER
SUITE 2800
SAN FRANCISCO, CA 94111
(415) 217-6000
FAX (415) 434-0646

U.S. Department of Commerce, Patent and Trademark Office					Atty Docket No.		Serial No.	
					M-11443 US		09/944,732	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Applicants			
(Use several sheets if necessary)					Smith, Lee John; Zoba, David Albert			
					Filing Date		Group	
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	6,005,778	12/21/99	Spielberger, et al.	361	770		
	AB	5,229,647	7/20/93	Gnadinger	257	785		
	AC	3,851,221	11/26/74	Beaulieu, et al.	317	100		
	AD	4,730,232	3/8/88	Lindberg	361	381		
	AE	4,763,188	8/9/88	Johnson	357	74		
	AF	4,982,265	1/1/91	Watanabe, et al.	357	75		
	AG	5,025,306	6/18/91	Johnson, et al.	357	75		
	AH	5,040,052	8/13/91	McDavid	357	80		
	AI	5,140,404	8/18/92	Fogal, et al.	357	70		
	AJ	5,291,061	3/1/94	Ball	257	686		
	AK	5,323,060	6/21/94	Fogal, et al	257	777		
Foreign Patent Documents								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	AL	62-12661 Eng. Abs.	6/8/87	Japan	H01L	25/04		
	AM	4-56262 Eng. Abs.	2/24/92	Japan	H01L	25/65		
	AN	63-244654 Eng. Abs.	10/12/88	Japan	H01L	23/28		
	AO	5136323 Eng. Abs.	6/1/93	Japan	H01L	23/50		
	AP	5109975 Eng. Abs.	4/30/93	Japan	H01L	25/065		
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AQ							
	AR							
	AS							
Examiner			Date Considered					
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.</p>								

U.S. Department of Commerce, Patent and Trademark Office					Atty Docket No.		Serial No.	
					M-11443 US		09/944,732	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Applicants			
(Use several sheets if necessary)					Smith, Lee John; Zoba, David Albert			
					Filing Date		Group	
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	BA	5,432,729	7/11/95	Carson, et al	365	63		
	BB	5,682,062	10/28/97	Gaul	257	686		
	BC	5,721,452	2/24/98	Fogal, et al.	257	685		
	BD	5,739,581	4/14/98	Chillara, et al.	257	668		
	BE	5,815,372	9/29/98	Gallas	361	760		
	BF	5,872,025	2/16/99	Cronin, et al.	438	109		
	BG	5,886,412	3/23/99	Fogal, et al.	257	777		
	BH	Re. 36,613	3/14/00	Ball	257	777		
	BI	6,051,886	4/18/00	Fogal, et al.	257	777		
	BJ	6,057,598	5/2/00	Payne, et al.	257	723		
	BK	5,861,666	1/19/99	Bellaar	257	686		
Foreign Patent Documents								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	BL	61117858 Eng. Abs.	6/5/86	Japan	H01L	25/08		
	BM	4028260 Eng. Abs.	1/30/92	Japan	H01L	25/065		
	BN	4116859 Eng. Abs.	4/17/92	Japan	H01L	25/065		
	BO	4096358 Eng. Abs.	3/27/92	Japan	H01L	25/065		
	BP	5013665 Eng. Abs.	1/22/93	Japan	H01L	25/065		
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	BQ							
	BR							
	BS							
Examiner			Date Considered					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								

U.S. Department of Commerce, Patent and Trademark Office					Atty Docket No.		Serial No.	
					M-11443 US		09/944,732	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Applicants			
(Use several sheets if necessary)					Smith, Lee John; Zoba, David Albert			
					Filing Date		Group	

O I P E
 OCT 05 2001
 PATENT & TRADEMARK OFFICE

U.S. Patent Documents							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	CA	5,165,067	11/17/92	Wakefield, et al.	257	783	
	CB	5,614,766	3/25/97	Takasu, et al.	257	777	
	CC	5,587,341	12/24/96	Masayuki, et al.	437	206	
	CD	5,473,196	12/5/95	De Givry	257	786	
	CE	5,426,563	6/20/95	Moresco, et al.	361	689	
	CF	5,502,289	3/26/96	Takiar, et al.	174	266	
	CG	5,422,435	6/6/95	Takiar, et al.	174	52.4	
	CH	5,334,875	8/2/94	Sugano, et al.	257	686	
	CI	5,198,888	3/30/93	Sugano, et al.	257	686	
	CJ	5,793,108	8/11/98	Nakahishi, et al.	257	723	
	CK	5,783,870	7/21/98	Mostafazadeh, et al.	257	791	

Foreign Patent Documents							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	CL	4-368154 A	12/21/92	Japan	H01L	23/00		X
	CM	54-128274 w/Eng. Abs.	4/10/79	Japan	H01L	23/30		X
	CN	1028856 w/Eng. Abs.	1/31/89	Japan	H01L	27/00		X
	CO	1099248 A w/Eng. Abs.	4/18/89	Japan	H01L	25/08	X	
	CP	60182731 w/Eng. Abs.	9/18/85	Japan	H01L	21/60		X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
	CQ	
	CR	
	CS	

Examiner	Date Considered
----------	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

U.S. Department of Commerce, Patent and Trademark Office					Atty Docket No.		Serial No.	
					M-11443 US		09/944,732	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Applicants			
(Use several sheets if necessary)					Smith, Lee John; Zoba, David Albert			
					Filing Date		Group	
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	DA	5,973,403	10/26/99	Wark	257	777		
	DB	5,917,242	6/29/99	Ball	257	737		
	DC	5,696,031	12/9/97	Wark	437	209		
	DD	5,637,912	6/10/97	Cockerill, et al.	257	620		
	DE	5,637,536	6/10/97	Val	438	686		
	DF	5,581,498	12/3/96	Ludwig, et al.	365	63		
	DG	5,514,907	5/7/96	Moshayedi	257	723		
	DH	5,495,398	2/27/96	Takiar, et al.	361	790		
	DI	5,495,394	2/27/96	Kornfeld, et al.	361	764		
	DJ	5,463,253	10/31/95	Waki, et al.	257	724		
	DK	5,012,323	4/30/91	Farnworth	357	75		
Foreign Patent Documents								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	DL	56062351 w/Eng. Abs.	5/28/81	Japan	H01L	25/04		X
	DM	3169062 w/Eng. Abs.	7/23/91	Japan	H01L	25/065		X
	DN	10-256470	9/25/98	Japan	H01L	25/065	X	
	DO	1071162 A Eng. Abs	3/16/89	Japan	H01L	23/52		X
	DP	5-75015 (A) Eng. Abs Eng. Abs	3/26/93	Japan	H01L	25/065		X
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	DQ							
	DR							
	DS							
Examiner			Date Considered					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								

U.S. Department of Commerce, Patent and Trademark Office					Atty Docket No.		Serial No.	
					M-11443 US		09/944,732	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Applicants			
(Use several sheets if necessary)					Smith, Lee John; Zoba, David Albert			
					Filing Date		Group	
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	EA	4,996,587	2/26/91	Hinrichsmeyer, et al.	357	74		
	EB	4,567,643	2/4/86	Droguet, et al.	29	575		
	EC	5,793,108	8/11/98	Nakanishi, et al.	257	723		
	ED	5,689,135	11/18/97	Ball	257	676		
	EE	5,138,438	8/11/92	Masayuki, et al.	357	75		
	EF	5,347,429	9/13/94	Kohno, et al.	361	813		
	EG	6,080,264	6/27/00	Ball	156	292		
	EH	5,422,435	6/6/95	Takiar, et al.	174	52.4		
	EI	5,569,625	10/29/96	Yoneda, et al.	29	827		
	EJ	5,798,014	8/25/98	Weber	156	263		
	EK	5,885,849	3/23/99	DiStefano, et al.	438	108		
Foreign Patent Documents								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	EL	4-368167 w/Eng. Abs.	12/21/92	Japan	H01L	25/065		X
	EM	5-283601	10/29/93	Japan	H01L	23/52		X
	EN	503 201 A2	12/20/91	EPO	H01L	23/495		
	EO	64001269 Eng. Abs.	1/5/89	Japan	H01L	25/04		
	EP							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	EQ							
	ER							
	ES							
Examiner			Date Considered					
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.</p>								

U.S. Department of Commerce, Patent and Trademark Office					Atty Docket No.		Serial No.	
					M-11443 US		09/944,732	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Applicants			
(Use several sheets if necessary)					Smith, Lee John; Zoba, David Albert			
					Filing Date		Group	
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	FA	5,952,611	9/14/99	Eng, et al.	174	52.4		
	FB	6,072,243	6/6/00	Nakanishi	257	783		
	FC	6,184,463	2/6/01	Panchou, et al.	174	52.4		
	FD	6,235,554	5/22/01	Akram, et al.	438	109		
	FE	6,214,641	4/10/01	Akram	438	107		
	FF	5,715,147	2/3/98	Nagano	361	813		
	FG	6,133,637	10/17/00	Hikita, et al.	257	777		
	FH	6,034,427	3/7/00	Lan et al.	257	698		
	FI	6,172,419	1/9/01	Kinsman	257	737		
	FJ	6,013,948	1/11/00	Akram et al.	257	698		
	FK	6,160,705	12/12/00	Stearns et al.	361	704		
Foreign Patent Documents								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	FL	61059862 Eng. Abs	3/27/86	Japan	H01L	25/04		
	FM	62119952 Eng. Abs.	6/1/87	Japan	H01L	25/04		
	FN	62126661 Eng. Abs.	6/8/87	Japan	H01L	25/04		
	FO	62142341 Eng. Abs.	6/25/87	Japan	H01L	25/04		
	FP	63211663 Eng. Abs.	9/2/88	Japan	H01L	25/08		
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	FQ							
	FR							
	FS							
Examiner			Date Considered					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								

U.S. Department of Commerce, Patent and Trademark Office					Atty Docket No.		Serial No.	
					M-11443 US		09/944,732	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Applicants			
(Use several sheets if necessary)					Smith, Lee John; Zoba, David Albert			
					Filing Date		Group	
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	GA	5,903,052	5/11/99	Chen et al.	257	706		
	GB	6,127,833	10/3/00	Wu et al.	324	755		
	GC	5,835,355	11/10/98	Dordi	361	760		
	GD							
	GE							
	GF							
	GG							
Foreign Patent Documents								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	GH	4056262 w/Eng. Abs.	2/24/92	Japan	H01L	25/065		
	GI	62126661 w/Eng. Abs.	6/8/87	Japan	H01L	25/04		X
	GJ	63128736 w/Eng. Abs.	6/1/88	Japan	H01L	23/04		X
	GK	63244654 w/Eng. Abs.	10/12/88	Japan	H01L	23/28		X
	GL	1019990020939	6/7/99 (App. Date)	Korea	H01L			X
	GM	1019990016319	5/7/99 (App. Date)	Korea	H01L			X
	GN	1019990037925	9/7/99 (App. Date)	Korea				X
	GO	1019990037928	9/7/99 (App. Date)	Korea				X
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	GP							
	GQ							
Examiner			Date Considered					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								